

17621 U.S. PTO
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PTO/SB/05 (08-00)

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HTC-002/C

First Inventor Nissenbaum et al.

Title Devices, Systems And Methods For....

Express Mail Label No.

U.S. PTO
12290317548
107748036

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 77] (Preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 41]

5. Oath or Declaration [Total Pages 5]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Other: Accounting Sheet
Preliminary Amendment

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. 09/713,589, filed 11/15/2000

Prior application information:

Examiner Gloria M. Hale

Group / Art Unit: 3765

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor Correspondence address below

Name	David Prashker DAVID PRASHKER, P.C.				
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Country	USA	Telephone	978-525-3794	Fax	978-525-3794
Name (Print/Type)	David Prashker		Registration No. (Attorney/Agent)	29,693	
Signature			Date	12/29/03	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO did not receive the following items (b) package for filing (c) power of attorney
(d) signature

ACCOUNTING SHEET

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

HTC-002/C
Case Docket No.

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Michael Nissenbaum, Ducksoo Kim & Andy H. Levine
For: "DEVICES, SYSTEMS AND METHODS FOR CREATING SUTURELESS
ON-DEMAND VASCULAR ANASTOMOSES AND HOLLOW
ORGAN COMMUNICATION CHANNELS"

XXX Enclosed are:

XX 77 pages of Specification.
XX 12 pages of claims.
XX 41 sheets of drawing.
XX An original combined Declaration And Power Of Attorney.
XX A written assertion of entitlement to Small Entity status
pursuant to 37 C.F.R. 1.27.
— An Assignment of the invention to _____.
XX A Preliminary Amendment _____.

XXX The filing fee has been calculated as shown below [FY2004]:

		Recording each Assignment.....		\$ 40 //	\$ 40
		(Col. 1)	(Col. 2)	SMALL ENTITY //	LARGE ENTITY
FOR:		No. Filed /	No. Extra	/ Rate - Fee	(or) Rate - Fee
BASIC FEE:	*****	*****	****	\$ 385 (or)	**** \$ 770
TOTAL CLAIMS:	3 - 20 =	0	x \$ 9 =	\$ 00 (or) x \$18 =	\$ ****
INDEP CLAIMS:	3 - 3 =	0	x \$43 =	\$ 00 (or) x \$86 =	\$ ****
MULTIPLE DEPENDENT CLAIM PRESENTED			+\$145 =	\$ 00 (or) +\$290 =	\$ ****
				TOTAL =	\$ 385

XXX A check in the amount of \$ 385.00 for the filing fee is enclosed.

"Express Mail mailing label number EU339506414US

Date of
Deposit

December 29, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 with the mailing label number and the date indicated above, and is addressed to the Commissioner for Patents, P.O. Box 1450, Mail Stop: Patent Application, Alexandria, Virginia 22313-1450.

David Prashker

(Typed or printed name of person mailing paper or fee)

David Prashker

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nissenbaum et al.
Serial No. : yet to be provided
Filed : herewith
For : "DEVICES, SYSTEMS AND METHODS FOR
CREATING SUTURELESS ON-DEMAND VASCULAR
ANASTOMOSES AND HOLLOW ORGAN
COMMUNICATION CHANNELS"
Attorney's Docket No. : HTC-002/C

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Mail Stop: Patent Application
Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed for filing on this date by Express Mail Post Office To Addressee
service with the U.S. Patent & Trademark Office on behalf of the above-
identified applicants, please find the following:

1. A Utility Patent Application Transmittal form for filing a Continuation
Application under 37 C.F.R. 1.53(b) of prior pending U.S. Patent Application
Serial No. 09/713,589 filed November 15th, 2000 with the U.S. Patent &
Trademark Office;
2. A true and accurate copy of presently pending U.S. Patent Application
Serial No. 09/713,589 filed November 15th, 2000;
3. A written assertion of entitlement to Small Entity status of record in the co-
pending parent case;

4. A signed combined Declaration And Power Of Attorney document if record in the co-pending parent case;
5. A Preliminary Amendment; and
6. A check in the amount of three hundred eighty five (\$ 385.00) dollars in payment of the requisite filing fees.

Respectfully submitted,

MICHAEL NISSENBAUM
DUCKSOO KIM
ANDY H. LEVINE

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